**Date:** February 22, 2017

**To:** All ACHBCS Providers

 *Mental Health & SUD Providers*

**From:** ACBHCS-Quality Assurance Department

**Re:** Final Implementation of ICD-10 and DSM-5 Documentation Requirements—Required by 4/1/17

**INTRODUCTION:**

Effective April 1st, 2017 all new and updated behavioral health care documentation and claiming requires utilization of only ICD-10 diagnosis (Dx) codes. (That is, DSM-IV-TR codes will not be utilized in new or updated Medical Records, nor entered into InSyst.)

Up until 4/1/17, providers may continue to utilize DSM-IV-TR Codes in InSyst and within their Medical Records—if they are accompanied by the cross-walked ICD-10 codes. *All existing MH records as of 4/1/17 will need to be updated upon the next client visit (both the Medical Record and InSyst) per the new requirements.*

**INSYST DATA ENTRY:**

**For MH Clients:** ICD-10 codes will be entered directly into InSyst for claiming purposes (see below and attached power point for instructions).

* Enter on InSyst line: ICD10Dx 1 to 5:

*1.) Required ICD-10 Primary Included mental, behavioral and neurodevelopmental disorder range (F01-99) Medi-Cal MH Diagnosis (see attached)*

*2.)  Optional ICD-10 Secondary Included mental, behavioral and neurodevelopmental disorder range (F01-99) Diagnosis*

*3.)  Optional ICD-10 Additional mental behavioral and neurodevelopmental disorder range (F01-99) OR physical health Diagnosis*

*4.)  Optional ICD-10 Additional mental behavioral and neurodevelopmental disorder range (F01-99) OR physical health Diagnosis*

*5.) Optional ICD-10 Additional mental behavioral and neurodevelopmental disorder range (F01-99)* OR *physical health Diagnosis*

* Required Axis IV: *Principal Psychological and/or Environmental A-J code*
* Required Axis V: *GAF rating score* (also enter in CSI field)
* Required General Medical Codes (GMS); *up to 3 codes may be added*
* Required Substance Abuse/Dependence issue and Substance Abuse/Dependence Diagnosis fields: *Yes, No, Unknown*. If yes, enter *DSM-5 Dx*

**For SUD Services enter in InSyst:**

1.) Required ICD-10 Primary Included Medi-Cal SUD Dx (see attached)

2.) Optional ICD-10 Secondary SUD Diagnoses (may be Included or Excluded)

Announcements will be forthcoming for any changes made to InSyst forms such as the *Client Registration, Episode Opening* and *Episode Closing* forms.

**MEDICAL RECORD DIAGNOSIS DOCUMENTATION:**

Medical Record Documentation will follow DSM-5 guidelines (utilizing DSM-5/ ICD-10 codes). DSM-IV-TR codes and conventions will no longer be followed. Providers may additionally utilize the WHODAS, or another measure of disability, but must still provide the GAF score until further notice.

Medi-Cal will require that documentation for each Dx *within the Assessment* clearly documents the diagnostic criteria established in the DSM-5. The only exception is if the Dx is listed as “by history” (such as for an excluded or physical health Dx). In that case, indicate “by history” and the source of the data.

The only exceptions to utilizing the DSM-5 criteria are for those diagnoses listed below in Table 1, where the DSM-IV-TR descriptions (names) and criteria will continue to be utilized (with the ICD-10 code indicated):

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| --- |
| **Table 1** |
| **ICD-10 Code**  | **DSM-IV-TR Diagnosis** |
| F84.5 | Asperger’s Disorder |
| F84.9 | Pervasive Developmental Disorder, NOS |
| F84.2 | Rett’s Disorder |
| F84.3 | Childhood Disintegrative Disorder |
| F84.0 | Autism (excluded) Dx for Outpatient MH Svcs) |

**See attached Medi-Cal Included Dx Lists for:**

1. *Outpatient MH Services M/C Included Dx List (by ICD-10 Code and DSM Name)*
2. *Inpatient MH Services M/C Included Dx List (by ICD-10 Code and DSM Name)*
3. *SUD Services M/C Included Dx List (by ICD-10 Code and by DSM Name)*

Do not use the M/C Included Lists posted by DHCS on their website as they include more Dx’s than may actually be utilized. Also, as DHCS continues to update their Included Dx Lists—we will update ours and keep you posted.

Also attached, see Crosswalk for Outpatient MH Services: DSM-IV-TR to DSM-5/ICD-10. This crosswalk offers the best alternatives of Included Dx’s when the client’s Dx was on the prior Included M/C List but has dropped off the current Included M/C List.

**When listing the ICD-10 Diagnoses in the Medical Record**, the fields listed below are required. Note, than when entering the Dx Code—the full DSM Description (Dx name) with Specifiers must be noted. DHCS also recommends additionally including the ICD-10 Description (Dx name).

**For MH Services:**

1. ICD-10 Primary Included Medi-Cal MH Diagnosis (see attached)
2. ICD-10 Additional MH Diagnoses (start with any additional Included, followed by any additional Excluded Dx’s)
3. ICD-10 SUD Diagnoses (all Excluded Dx)
4. ICD-10 Medical Diagnoses (or General Medical Codes—see attached. If GMC Codes are utilized in the Medical Record they must also be described by name)
5. ICD-10 Psycho-Social Conditions-principal listed first (see attached)
6. GAF Score

**For SUD Services:**

1. ICD-10 Primary Included Medi-Cal SUD Dx (see attached)
2. ICD-10 Additional SUD Diagnoses (may be Included or Excluded Dx)
3. ICD-10 MH Diagnoses (Excluded Dx)
4. ICD-10 Medical Diagnoses (or General Medical Codes—see attached. If GMC Codes are utilized in the Medical Record they must also be described by name)
5. ICD-10 Psycho-Social Diagnoses-principal listed first (see attached)

In the MH Medical Record, diagnostic information is maintained in the MH Assessment. In the SUD Medical Record, diagnostic information may be found in the SUD Intake and is maintained in the SUD Treatment Plan.

For those providers who wish to begin utilizing the new protocol now, they may begin doing so immediately.

For assistance with Medical Record Documentation requirements effective 4/1/17 please contact your ACBHCS Quality Assurance Technical Assistance Contact. See attached Contact List.

For assistance with entering ICD-10 codes into InSyst effective 4/1/17 please contact the Information Systems Help Desk @ 510.567.8181.